

Receipt SH

Patent  
268/250US



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

**Richard A. Hutchin**

Serial No.: **10/060,061**

Filed: **January 28, 2002**

For: **DISPERSIVE SPECTROMETER**

)  
) Group Art Unit: **2878**

)  
) Examiner: Not yet assigned

**LETTER TO CORRECT FILING RECEIPT**

Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

Dear Sir:

Enclosed is a copy of the Filing Receipt (Form PTO-103X) for the above-identified application with changes noted thereon in red ink.

The filing date on the Filing Receipt is incorrect. The Filing Receipt shows the filing date of January 29, 2002. The correct filing date is January 28, 2002. The application was sent via Express Mail under label number EL360421777US and deposited with the United Postal Service on January

LA-235201.1

**CERTIFICATE OF MAILING**  
(37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

May 3, 2002  
Date of Deposit

Rachel Marquez

Name of Person Mailing Paper

Rachel Marquez  
Signature of Person Mailing Paper

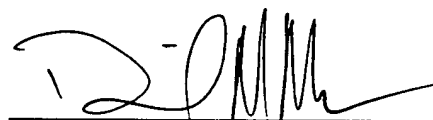
28, 2002. Copies of the following documents are enclosed herewith in support of the corrected filing date:

1. The New Application Transmittal for the above identified application, listing the Express Mail Label Number as EL360421777US;
2. United States Postal Service (USPS) Form 5541-C, signed by a USPS employee on January 28, 2002 and listing as received under Item No. 4 a package having Express Mail Label Number as EL360421777.

We respectfully request the issuance of a corrected Filing Receipt.

Respectfully submitted,

LYON & LYON LLP



By: \_\_\_\_\_

David M. Morse  
Reg. No. 50,505

Dated: May 3, 2002 \_\_\_\_\_

633 West Fifth Street, Suite 4700  
Los Angeles, California 90071-2066  
(213) 489-1600



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING DATE                         | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------------------------------|--------------|---------------|-----------------|----------|------------|------------|
| 10/060,061         | <del>01/29/2002</del><br>01/28/2002 | 2878         | 0.00          | 268/250         | 6        | 14         | 2          |

22249  
LYON & LYON LLP  
633 WEST FIFTH STREET  
SUITE 4700  
LOS ANGELES, CA 90071

CONFIRMATION NO. 7146

## FILING RECEIPT



\*OC000000007554232\*

Date Mailed: 02/28/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Richard A. Hutchin, Residence Not Provided;

## Domestic Priority data as claimed by applicant

## Foreign Applications

If Required, Foreign Filing License Granted 02/26/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

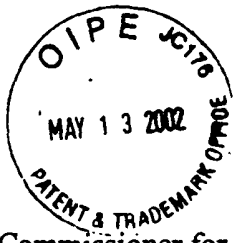
## Title

Dispersive spectrometer

## Preliminary Class

250

RECEIVED  
MAR - 4 2002  
U.S. PROSECUTION



Patent  
Attorney Docket: 268/250

To: Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

**NEW APPLICATION TRANSMITTAL - UTILITY**

Sir:

Transmitted herewith for filing is a **utility** patent application:

**Inventor(s):** Richard A. HUTCHIN

**Title:** DISPERSIVE SPECTROMETER

**I. PAPERS ENCLOSED HERewith FOR FILING UNDER 37 CFR § 1.53(b):**

14 Page(s) of Written Description  
4 Page(s) Claims  
1 Page(s) Abstract  
6 Sheets of Drawings ☒ Informal ☐ Formal

**II. ADDITIONAL PAPERS ENCLOSED IN CONNECTION WITH THIS FILING:**

- ☐ Declaration  
☐ Power of Attorney ☐ Separate ☐ Combined with Declaration  
☐ Assignment to \_\_\_\_\_ and assignment cover sheet  
☐ Certified Copy of Priority Document No(s):  
☐ Information Disclosure Statement w/PTO 1449 ☐ Copy of Citations  
☐ Preliminary Amendment  
☐ Request and Certification under 35 U.S.C. § 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
☒ Return Postcard  
☐ Other:

**III. PRIORITY**

☐ Priority of application Serial No. \_\_\_\_\_ filed on \_\_\_\_\_ in \_\_\_\_\_ is claimed under 35 U.S.C. § 119.

LA255153.1

**CERTIFICATE OF MAILING**  
(37 C.F.R. §1.10)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as 'Express Mail Post Office To Addressee' in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

EL360421777US  
Express Mail Label No.

January 28, 2002  
Date of Deposit

Dora Demers  
Name of Person Mailing Paper

*Dora Demers*  
Signature of Person Mailing Paper

**IV. THE FILING FEE HAS BEEN CALCULATED AS SHOWN BELOW:**

☒ Applicant claims small entity status pursuant to 37 CFR § 1.27

| BASIC FILING FEE:                                                                                               |       |                 |    |   |   |                          | \$740.00                                     |
|-----------------------------------------------------------------------------------------------------------------|-------|-----------------|----|---|---|--------------------------|----------------------------------------------|
| Total Claims                                                                                                    | 14    | -               | 20 | = | 0 | x \$18.00                | \$0.00                                       |
| Independent Claims                                                                                              | 2     | -               | 3  | = | 0 | x \$84.00                | \$0.00                                       |
| Multiple Dependent Claims                                                                                       | \$280 | (if applicable) |    |   |   | <input type="checkbox"/> | \$0.00                                       |
| TOTAL OF ABOVE CALCULATIONS                                                                                     |       |                 |    |   |   |                          | \$740.00                                     |
| Reduction by ½ for Filing by Small Entity, if applicable, as asserted above.<br>Note 37 CFR §§ 1.9, 1.27, 1.28. |       |                 |    |   |   |                          | <input checked="" type="checkbox"/> \$370.00 |
| Misc. Filing Fees (Recordation of Assignment -- \$40)                                                           |       |                 |    |   |   |                          | \$0.00                                       |
| TOTAL FEES DUE HERewith                                                                                         |       |                 |    |   |   |                          | \$370.00                                     |

**V. METHOD OF PAYMENT OF FEES**

- ☐ A check in the amount of \_\_\_\_.
- ☐ Charge Lyon & Lyon's Deposit Account No. 12-2475 in the amount of \_\_\_\_.
- ☒ This application is being filed without fee or Declaration under 37 CFR § 1.53.

**VI. AUTHORIZATION TO CHARGE FEES**

The Commissioner is authorized to credit any overpayment and to charge any underpayment to Lyon & Lyon's Deposit Account No. 12-2475 for the following:

- ☐ 37 CFR § 1.16 – (Filing fees and excess claims fees)
- ☐ 37 CFR § 1.17 – (Any application processing fees)
- ☐ 37 CFR § 1.21 – (Assignment recording fees)

**VII. CORRESPONDENCE ADDRESS**

Please send all correspondence to Customer Number 22249:



**22249**

PATENT TRADEMARK OFFICE

LYON & LYON LLP  
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633 W. Fifth Street  
Los Angeles, CA 90071  
(213) 489-1600

Please direct all inquiries to David M. Morse, at the above customer number.

Respectfully submitted,

LYON & LYON LLP

By: \_\_\_\_\_

David M. Morse  
Prov. Reg. No. P-50505

Dated: January 28, 2002